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PPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/537,180	03/29/2000	Donald R. Owen	WPB40219A	6869
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OLIFF & BERRIDGE, PLC			SAUCIER, SANDRA E	
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DATE MAILED: 12/17/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

,	Application No.	Applicant(s)				
•	09/537,180	OWEN ET AL.				
Office Action Summary	Examiner	Art Unit				
	Sandra Saucier	1651				
The MAILING DATE of this communication app Period for Reply	ears on the cover sh	eet with the correspondence ac	ddress			
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1: after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period of the period for reply within the set or extended period for reply will, by statute - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).  Status	36(a). In no event, however, within the statutory minimulurill apply and will expire SIX, cause the application to be a date of this communication,	may a reply be timely filed m of thirty (30) days will be considered time (6) MONTHS from the mailing date of this occure ABANDONED (35 U.S.C. § 133).	ly. communication.			
1) Responsive to communication(s) filed on 22 S						
	action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4) ☐ Claim(s) 224-292 is/are pending in the applica 4a) Of the above claim(s) 268-292 is/are withdom 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 224-267 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/o	awn from considera					
Application Papers						
9) The specification is objected to by the Examine 10) The drawing(s) filed on 29 March 2000 is/are:  Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Ex  Priority under 35 U.S.C. §§ 119 and 120	a) $\boxtimes$ accepted or b) drawing(s) be held in tion is required if the d	abeyance. See 37 CFR 1.85(a). rawing(s) is objected to. See 37 C	CFR 1.121(d).			
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority document application from the International Burea * See the attached detailed Office action for a list 13) Acknowledgment is made of a claim for domest since a specific reference was included in the fir 37 CFR 1.78.  a) The translation of the foreign language profile 14) Acknowledgment is made of a claim for domest reference was included in the first sentence of the second content of the foreign language profile 14.	is have been received is have been received in the second of the certified copicities of the second of the second of the second ic priority under 35 to sentence of the second of the se	ed.  ed in Application No  be been received in this National).  es not received.  J.S.C. § 119(e) (to a provisional pecification or in an Application has been received.  J.S.C. §§ 120 and/or 121 since	al application) n Data Sheet. e a specific			
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) 🔲 No	erview Summary (PTO-413) Paper No otice of Informal Patent Application (PT her:	o(s) ro-152)			

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#### **DETAILED ACTION**

Claims 224-292 are pending. Claims 224-267 are considered on the merits. Claims 268-292 are withdrawn from consideration as being drawn to a non-elected invention.

### Election/Restriction

This application contains claims drawn to an invention nonelected with traverse in Paper No. 3/4/03. A complete reply to the final rejection must include cancelation of nonelected claims or other appropriate action (37 CFR 1.144) See MPEP § 821.01.

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

### Specification

The amendment filed 12/5/2000 is objected to under 35 U.S.C. 132 because it introduces new matter into the disclosure. 35 U.S.C. 132 states that no amendment shall introduce new matter into the disclosure of the invention. The added material which is not supported by the original disclosure is as follows:

On pages 6, 15, 29, 30 in the paper filed 12/5/00 the insertion of "and/or fluorescent tagged copolymer".

Applicants point to claim 199 to support the above recitation. Please read claim 199. It does not support "and/or".

On page 22, insertions at lines 21, 24 and 30.

Applicant does not contest the new matter inserted at line 21.

Applicant points to claim 31 and Figure 16 to support the insertion at line 24. However, the recitation of claim 31 is not the same as the insertion, nor does Fig. 16 provide support for the insertion.

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Applicant points to claim 162 to provide support for the insertion at line 30. Please read claim 162, no support is found for "and/or" or for a normothermic perfusion. Please do not try to recreate the specification.

On page 24, insertion at line 25.

On page 25, insertion at line 19.

On page 26, insertion at line 28.

Applicants point to page 23 of the specification to support these recitations. No ranges are seen on page 23.

Please delete these insertions.

Applicant is required to cancel the new matter in the reply to this Office Action.

# Claim Rejections – 35 USC § 112 NEW MATTER

Claims 224–267 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Claim 224 recites "energy levels in the organ". The SUMMARY OF THE INVENTION on page 4, where the broadest statement of the invention should be found, states that it is the "high energy nucleotide" levels and enzyme levels that are restored. This is not the same as "energy levels in the organ" which may be interpreted to be a broadening of the original disclosure. Please either

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point to the place in the specification where this recitation is supported or amend it so that it no longer contains new matter.

Applicants point to page 21, lines 18-23 where it is state that high energy nucleotides or ATP levels are maintained and/or restored. This is precisely the point. High energy nucleotides are not the same as energy levels.

Claim 225 recites that the first temperature is "up to about 24°C". Please point to the place in the specification where this recitation has support. 12-24°C is seen on page 26, but not "up to about 24°C", which has a lower limit of the range at absolute zero on the Kelvin scale.

Claim 227 recites "at least about  $15^{\circ}$ C". Please point to the place in the specification where this recitation has support. Support for about  $10-38^{\circ}$ C is seen on page 26, but not "at least about  $15^{\circ}$ C". This is a broadening of the originally filed disclosure.

Applicants point to claim 37 to support this insertion. Please read claim 227, there is no "about" in the claim and further, this claim refers to a preliminary perfusion prior to the "first medical fluid".

Claim 230 recites "about 20°C to about 38°C". Please point to the place in the specification where this recitation has support. Support for "about 10°C to 38°C" as an upper value is seen on page 26, but not "about 38°C". This is a broadening of the as filed disclosure.

Applicants point to page 26 where the range is "approximately 10C to 38C and state that the "approximately" applies to both ends of the range. If this is so, why do applicants now insert "about" at the top end of the range. This is an issue of interpretation and a reasonable person of skill in the art may interpret the modifier in various ways. Please delete the insertion as it may be reasonably interpreted to be a broadening of the range.

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Claim 232 recites "about 1°C to **about** 15°C". Please point to the place in the specification where this recitation has support. Support for "about 1°C to 15°C" as an upper value is seen on page 30, but not "**about** 15°C". This is a broadening of the as filed disclosure.

Please see argument above as the issue is the same. Please cancel the insertion.

Claim 235 recites "at least about 20°C". The range claimed by this recitation is from about 20°C to infinitely hot. Please point to the place in the specification where this range is supported. Support is seen on page 26 for "about 10°C to 38°C", but not from 20°C" to infinity.

This insertion is not defended by applicant. Please delete it.

Claim 240 recites "free radical scavenger, a pituitary growth factor extract and cell culture media". Please point to the place in the specification where the first medical fluid (oxygenated fluid) is said to contain these components.

This insertion is not defended by applicant.

Claim 253 recites "antioxidants, anti-apoptic agents and agents that decrease vascular permeability". Please point to the place in the specification where the flush solution is said to contain these components.

This insertion is not defended by applicant. Please delete it.

Applicant is not free to introduce new elements and broaden ranges after the filing date of the application.

### INDEFINITE

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Claims 228, 251-253 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 228 recites "about room temperature". This phrase is indefinite because the metes and bounds of the temperature range cannot be determined. Room temperature varies according to location and desire of the occupants. It is a relative term. Thus, the metes and bounds of the claim are not clear.

Applicant argues that about room temperature is not indefinite because it is defined in the specification as 22-23°C. Please insert this range in the claims to overcome the rejection.

Claims 251, 252, 253 recite "hypothermic temperature". This is an indefinite phrase because the metes and bound of the term "hypothermic" are not clear. It is a relative term without a set reference point in the claim. Thus, the metes and bounds of the claim are not definite.

Applicant argues that hypothermic is approximately 1-15°C and is stated on page 30 of the specification. Please insert the range to overcome the rejection.

# Claim Rejections - 35 USC § 102

Claims 224-245, 248-252, 258-266 remain rejected under 35 U.S.C. 102(b) as being clearly anticipated by WO 88/05261 [N].

The claims are directed to a method of maintaining and restoring the viability of an organ subjected to ischemia comprising:

perfusing the organ with a first fluid at a first temperature to maintain/restore pre-ischemia energy levels in the organ, and

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perfusing the organ with a second fluid containing substantially no oxygen at a second temperature to store/transport the organ, whereby the second temperature is lower than the first temperature.

The references are relied upon as explained below.

WO 88/05261 discloses in Example 3, a first normothermic (37°C) perfusion of a FC−43 emulsion at a pressure of 40−120mm Hg followed by a second perfusion with a hypothermic (4−6°C) electrolyte solution at a pressure of ≺20mm Hg. The perfusate is circulated through a pH sensor module (page 25, I. 20) which is a viability marker or indicative of the organ's viability according to the specification at page 15, I. 28. The perfusate is recycled through a recirculation loop which contains a filter (Fig 1, 16). The recycled perfusate is debubbled and oxygenated and pH regulated prior to returning to the organ. The perfusion may be continuous during storage. The organ is first removed from the subject and cooled in a saline/icewater bath (page 22, I. 10) and perfused with a cardioplegia solution prior to perfusing with the first and second solutions. The organ is stored after the second perfusion in a chamber with includes a housing and an organ supporting surface which allows effluent to pass through, the housing includes openings.

The FC-43 emulsion is an oxygen carrying solution which contains dextrose, both of which function to maintain energy levels in organs (See Ingwall et al. [U]). The solution contains oxygen and the partial pressure of oxygen is monitored during the perfusion process. Thus the solution is considered to have a viability marker as disclosed on page 6, l. 19 of the specification.

The electrolyte solution contains mannitol which is an antioxidant (See Burdon et al. [V]).

Insofar as the process relies on the use of components of the solutions which instead of being characterized by technical features suitable for the

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identification of a solution composition, is imprecisely defined by means of functional features which merely recite the desired result to be achieved, the subject matter is considered to be anticipated or made obvious by the disclosures of the prior art.

Portable is a term without a reference point. Everything is portable if a large enough moving force is applied. Thus, the chamber (1) of the prior art which holds the artificial pericardial sack (2) and has inlets (51) and outlets (16) connected to the organ, can be portaged and thus the chamber is considered to be portable. That the applicants have termed the pericardial sack (2) a "cassette" is the prerogative of the inventor and as it is lacking in structural elements is of little patentable weight. Please note the artificial pericardial sack may be thrown away after use if wished, thus it may be termed "disposable". The container is capable of maintaining the organ at a temperature of 10°C at least for a short period of time because the heart has been cooled. No length of time of the "maintaining" limitation is seen. Maintaining can be for one minute, one hour, or less.

## Claim Rejections - 35 USC § 103

Claims 246 and 247 remain rejected under 35 U.S.C. 103(a) as being unpatentable over WO 88/05261 [N] in view of WO 96/29864 [O]

The claims are directed to the use of a pressure source incapable of providing pressures greater than 100 mmHg or 40 mmHg.

WO 88/05261 discloses using 40–120 mm Hg pressures in normothermic perfusion and less than 20 mm Hg pressures in hypothermic perfusion. The system is equipped with a pressure release control system which is programmable so as not to exceed a preestablished limit (page 14, ls. 1–10). Fig 4 shows the control systems. The reference lacks the disclosure of using a setting of 100 mmHg which cannot be exceeded.

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WO 96/29864 discloses an apparatus used for normothermic perfusing of organs with a pressure maximum of 90 mmHg (page 23).

One of skill in the art may set the pressure in the apparatus of WO 88/05261 so that the fluid perfusion pressure can not exceed 90 mmHg as taught by WO 96/29864 because the apparatus of WO 88/05261 has variable settings which once established according to the desire of the operator will not be exceeded. One of skill in the art would be expected to able to establish maximal settings of 90 mmHg using the apparatus of WO 88/05261 and the maximal pressure settings as disclosed in WO 96/29864 in the absence of evidence to the contrary.

Clearly the apparatus is capable of operating at pressures no greater than 20mmHg also because this is the setting taught for hypothermic perfusion.

Claims 253 and 254 remain rejected under 35 U.S.C. 103(a) as being unpatentable over WO 88/05261 [N] in view of Chambers et al. [W].

The claims are directed to the use of antioxidants in the flush solution.

WO 88/05261 is relied upon as discussed above and lacks the specific teaching of the use of antioxidants in the flush/cardioplegic solution.

Chambers et al. disclose that the addition of various antioxidants to cardioplegic solutions improves organ viability.

The addition of an antioxidant to the flush, cardioplegic solution of WO 88/05261 (p. 22, I. 6) would have been obvious when taken with Chambers et al. who teach the improved viability of a heart when the flush/cardioplegic solution incorporates antioxidants.

Claims 255 remains rejected under 35 U.S.C. 103(a) as being unpatentable over WO 88/05261 [N].

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The claim is directed to transplanting the organ into the recipient while the organ remains at the second temperature which is the at temperature that it last was perfused.

While WO 88/05261 does not teach any specific temperature that the heart must be during transplantation, in the absence of evidence to the contrary, one of skill in the art may chose to transplant a cold organ or a warm one as desired.

Claim 257 remains rejected under 35 U.S.C. 103(a) as being unpatentable over WO 88/05261 [N] and Ingwall et al. [U] or WO 97/43899 [P].

The claim is direct to perfusing the organ with the first fluid at the first temperature prior to transplantation.

WO 88/05261 teaches at page 23, that it is possible to raise the temperature of the heart if there is ATP depletion prior to transplantation.

WO 97/43899 disclose that warming a heart (page 10, I. 23) and perfusing with a solution containing substrates such as glucose (page 10, I. 33) and oxygen (page 11, I. 3) reestablishes oxidative metabolism, which means that ATP levels are established (abstract and Fig. 1).

Inwall et al. teaches that ATP levels can be restored in heart when perfusion with a solution containing oxygen and glucose (abstract).

One of skill in the art, given the teaching that one should raise the temperature of the heart if there is ATP depletion (WO 88/05261), would perfuse with the first fluid at the first temperature because warm perfusion of the heart with oxygen and glucose is known to raise endogenous ATP levels and improve viability of the organ as taught by Ingwall et al. or WO 97/43899 [M].

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Claims 259-266 remain rejected under 35 U.S.C. 103(a) as being unpatentable over WO 88/05261 [N] in view of US 5,586,438 [A].

The claims are directed to a method of perfusing an organ in a portable perfusion unit capable of maintaining the organ at a temperature of 10°C or less. Other claims are directed to storing the organ after perfusion with the first medical fluid at the first temperature or with the second medical fluid at the second temperature.

The references are relied upon as explained below.

WO 88/05261 has been discussed above and lacks specific mention of portability.

US 5,586,438 discloses an apparatus for transporting and preserving organs (Fig. 3). It comprises a housing and an organ container. The apparatus can be cooled by ice or other thermal buffers or by the expansion of compressed gas. The preferred temperature of storage/transportation is 6°C (col. 9, l. 29). While the organ container is not disclosed as being disposable, anything in the absence of structural elements can be disposed of. The organ container is removable from the housing and thus, can be thrown away separate from the housing.

The use of the perfusion regimen of WO 88/05261 in the apparatus of US 5,586,438 would have been obvious because one of skill in the art may choose any perfusion apparatus in the art that will perform a specific perfusion regimen. The apparatus of US 5,586,438 is capable of performing the perfusion regimen taught in WO 88/05261 and is used for perfusion and transportation of organs for transplantation.

Further, it is well within the purview of one of skill in the art to store/transport the organ after the perfusion of any solution known in the art at any temperature used in the art in the absence of any evidence of criticality.

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Claim 267 remains rejected under 35 U.S.C. 103(a) as being unpatentable over WO 88/05261 [N] and US 5,586,438 [A] as applied to claims 259–266 above, and further in view of US 5,450,329 [B].

The claims are further directed to the use of a GPS to monitor the location of the organ.

WO 88/05261 and US 5,586,438 are relied upon as discussed above. The references lack mention of the use of GPS to track the organ.

US 5,450,329 discloses the use of GPS to track a vehicle.

The use of GPS to track a vehicle which is carrying the portable perfusion unit of US 5,450,329 which contains an organ perfused with the regimen of WO 88/05261 and is intended for transplantation is well within the purview of one of skill in the art because such tracking devices are known in the art.

One of ordinary skill in the art would have been motivated at the time of invention to make these substitution in order to obtain the results as suggested by the references with a reasonable expectation of success. The claimed subject matter fails to patentably distinguish over the state of the art as represented by the cited references. Therefore, the claims are properly rejected under 35 U.S.C. § 103.

No critical or novel element is seen in the claims. It appears that all elements are known in the art of transplantation.

#### **APPLICANTS' ARGUMENTS**

Applicants' arguments filed 9/22/03 have been fully considered but they are not persuasive.

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Applicants argue that the cited reference does not disclose maintaining and/or restoring pre-ischemia or pre-hypoxia energy levels in the organ. However, this is merely the desired result of the claimed method. Applicants have not demonstrated that the prior art method which appears to have the same active steps as the instant method AS CLAIMED does not yield the same result as the instant method. The same method having the same active steps would reasonably be expected to give the same result.

The Patent and Trademark Office is not equipped to conduct experimentation in order to determine whether or not applicants' result differs and, if so, to what extent from the result discussed in the reference.

Accordingly, it has been established that the prior art method, which has the same active steps and shares the property of being able to preserve organs for transplantation demonstrates a reasonable probability that it is either identical or sufficiently similar that whatever differences exist are not patentably significant. Therefore, the burden of establishing novelty or unobviousness by objective evidence is shifted to applicants. Applicants have not met this burden on the record.

#### Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

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To aid in correlating any papers for this application, all further correspondence regarding this application should be directed to Group Art Unit 1651. The supervisor for 1651 is M. Wityshyn, (703) 308-4743. The normal work schedule for Examiner Saucier is 8:30 AM to 5:00 PM Monday and Tuesday and 8:30 AM to noon on Wednesday.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sandra Saucier whose telephone number is (703) 308–1084. <u>Status inquiries must be directed to the Customer Service Desk at (703) 308–0197 or (703)–308–0198.</u> The number of the Fax Center for the faxing of official papers is (703) 872–9306.

Sandra Saucier

Primary Examiner

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December 11, 2003